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## ® CANADIAN PATENT

SCREEN PRINTED SOLID ELECTROLYTIC CAPACITOR

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### ABSTRACT OF THE DISCLOSURE

A solid tantalum capacitor is made by screen printing a thick mixture of tantalum powder and a binder onto a planar tantalum substrate, sintering the printed layer, forming a dielectric oxide, and forming a counterelectrode. A large number of capacitors may be so made on a single substrate, and thereafter individual or groups of capacitors may be separated and have leads attached thereto.

This invention relates to screen-printed solid electrolytic capacitors, and more particularly to making solid electrolytic tantalum capacitors by forming a porous tantalum pellet on a sub= strate having at least one face of tantalum, wherein the tantalum 5 face serves as the anode connection.

The majority of solid tantalum capacitors are made today by compressing a free-flowing tantalum powder, either with or without a binder, sintering, forming a dielectric oxide, covering with a solid electrolyte, and forming a counterelectrode.

10 For those uses requiring high component packing densities especially in planar mounting and hybrid intergrated circuit systems, there have been proposed several varieties of solid tantalum capacitor structures employing a tantalum substrate carrier. In several cases, the tantalum substrate has cavities or cups formed therein, 1.5 in which tantalum powder is dispersed and sintered. In another arrangement, a flat tantalum substrate is overlaid by a tantalum template wherein the powder is confined.

The geometry of the above mentioned substrates or templates narrowly determines and limits the geometry of the porous tantalum 20 pads thus produced. The template system is particularly limiting since the thickness of the template determines closely the height of the tantalum powder pads and must either be carefully removed to avoid destroying pads or must be carried through the sintering process. The previous systems of powder-on-substrate require a special commitment to tooling for each size of capacitor to be produced, not unlike the more conventional molded pellet system. In addition, the methods of the prior art tend to produce a sintered tantalum body having a smooth surface. Thus the introduction of a solution of manganous salts into the porous body is achieved by special means that must overcome the tendency of the salt solution to run off the smooth surface.

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These previous methods are suitable for making a number of solid tantalum capacitors on the same substrate. However they are limited by the necessary tooling with respect to the minimum capacitor size and spacing between capacitors. It is desirable to achieve smaller capacitors having high capacity—voltage capability and closer spacing, both for the advantages of further miniaturiza—tion and for the economy of production.

A feature of the present invention is the provision of a highly miniaturized solid tantalum capacitor. Another feature is the provision of multiple solid tantalum capacitors having a high packing density. Another feature is the provision of a process for manufacturing solid tantalum capacitors requiring only low cost and readily acquired tooling, which method will reduce the cost of manufacturing. Another feature is the provision of solid tantalum capacitors capable of direct connection or lead connection and otherwise capable of meeting a great variety of requirements for packaging and mounting.

In accordance with this invention valve-metal powder is screened and sintered onto a substrate having a surface of the same valve metal, and a solid electrolyte capacitor is produced on the sintered powder.

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In drawings which illustrate embodiments of the invention,
Figure 1 shows a side view of a tantalum substrate having
pads of tantalum deposited thereon,

Figure 2 shows a top view of the assembly of Figure 1,

Figure 3 is a top view of a tantalum substrate having

mixteen tantalum capacitor elements formed thereon,

Figure 4 shows a magnified cross-section of a typical capacitor element of this invention,

Figure 5 is a top view of a polar capacitor of this invention, having been cut from an assembly of a large number of similar capacitors, and having lead wires attached, Figure 6a shows a non-polar capacitor of this invention,
Figure 6b shows the capacitor of Figure 6a mounted in
flipschip fashion on a printed wire board or on an integrated
circuit,

Figure 6c shows the capacitor of Figure 6a with lead tabs attached,

Figure 7a is a top view of a polar capacitor of this invention,

Figure 7b shows the capacitor of Figure 7a mounted in 10 flip#chip fashion,

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Figure 7c shows the capacitor of Figure 7a with lead tabs attached,

Figure 8 shows the sectional view of a DIP package incorporating the capacitor of Figure 7c, in plane 4-4.

Figure 9 shows a package wherein a multiple capacitor of this invention has a common anode connection,

Figure 10 is a sectional view of the capacitor of Figure 9 in plane 5-5.

Figure 11 shows a package wherein a multiple capacitor of 20 this invention has a common cathode, and

Figure 12 is a sectional view of the capacitor of Figure 11 in plane 6-6.

In general, this invention involves the manufacture of solid electrolytic capacitors by screen printing one or more layers of a mixture of a valve-metal powder in a liquid binder onto the face of a substrate of the same valve metal. The printed composite layer is sintered, resulting in a porous valve-metal pad being sinter bonded to the valve-metal face of the substrate. The sintered pad has a rough surface exhibiting a pattern reflecting that of the screen mesh. A valve-metal oxide film is formed, a solid electrolyte of MnO<sub>2</sub> is applied over the film, and a counterelectrode is applied over the MnO<sub>2</sub>.

Many capacitors can be made simultaneously on one substrate and subsequently cut apart into groups of one or more capacitors. Screen printing is advantageously employed in the steps of
masking between capacitor elements and in application of the counterelectrode. Such screens constitute the major requirements for tooling for the manufacturing process, thus presenting a comparatively
low cost tooling system and a unified and comparatively low cost
production facility.

In a preferred method of the present invention, one or

more thick film pads of a thick ink containing tantalum powder are
screen printed upon a tantalum sheet. A suitable screen printing
ink is prepared by mixing finely divided tantalum powder with a
binder and if necessary a thinner or solvent such that a thick
horizontal pad of the ink as screened, exhibits essentially no

leveling or flow under still conditions, is. conditions of zero
agitation.

Figure 1 is a side view and Figure 2 is a top view of tantalum substrate 10 with thick pads 11 deposited thereon. The screen is masked so as to permit the passage of ink through only 20 certain portions of the screen to form the pads 11. The sixteen pads shown are merely illustrative, since one pad or several thousand pads may thus be screen printed on a single substrate.

Each pad 11 is shown to have a rough surface 12, and in fact this surface roughness has a pattern reflecting the pattern of the screen itself. This surface roughness is peculiarly advantageous to the manufacture of solid tantalum capacitors, as will be shown. The lack of flow permits the printing of wet ink pads whose separation and spacing is retained. This latter feature permits high density printing of small pads that otherwise might run together or preclude the use of inter-pad spaces for other purposes.

In the preferred method, the ink is screen printed onto a tantalum substrate providing a thin layer of ink of several mils

thickness, e.g. 0.004 inch. A blast of hot air is directed for a few seconds onto the top surface of the substrate and the ink layer, causing volatiles within the ink to evaporate and solidifying the layer. A second layer of ink is printed atop and registered with the first layer. Heat is applied again to solidify the second layer. The printing and heating steps may be repeated as many times as necessary to achieve a composite layer of the solidified tantalum mixture of the desired thickness. Of course one layer may be sufficient.

The substrate is then placed in a vacuum furnace and sintered at a temperature between 1550°C and 2000°C. During this high
temperature vacuum exposure the tantalum ink binder is decomposed
and driven off and the tantalum particles become bonded to one another and to the underlying tantalum substrate. The result is a

15 highly porous layer or pad of tantalum that is sinter bonded to the
tantalum substrate.

Contact to the substrate is made by means of a tantalum lead wire or tab extending from the substrate, and the substrate and porous tantalum layer are anodized by well-known methods creating a 20 tantalum oxide film over all tantalum surfaces. The tantalum oxide becomes the capacitor dielectric.

After rinsing and removing the anodizing electrolyte, a barrier coating of for example silicone varnish or TEFLON material is applied over the oxidized tantalum face in regions between the tantalum pads. (TEFLON is a Trademark of E. I. DuPont Company.) A particular TEFLON material found useful is DuPont #851-204. Many other fluorocarbon materials will be suitable.

Figure 3 shows the tantalum substrate 30 with barrier coataing paths, e.g. 33 and 34, between the pads 31. The coating is presented by screen printing, after which it is cured by heating. When later cutting apart the capacitor elements, this coating primarily serves to prevent shorting between the tantalum face anode

connection and the solid manganese dioxide electrolyte that will next be applied to the porous tantalum pads. The manganous nitrate which becomes converted to manganese dioxide does not wet and adhere to the barrier coating. Other methods may be used for applying the coating including dispensing the wet coating material by means of a pen, for example pen type AR21 made by the Wood-Regan Instrument Company. In this method of coating, all parallel paths may be drawn simultaneously using a number of such pen instruments that are mechanically ganged.

10 The substrate is then held by one edge and immersed in an aqueous solution of a manganous salt, preferably manganous nitrate. Upon removal, the manganous nitrate drains away easily from the smooth surfaces while remaining in substantial thickness over the rough surfaces of the porous tantalum pads. The substrate is placed 15 in an oven having a temperature of from 250°C to 450°C, causing the manganous nitrate first to permeate the porous tantalum pads, and then to pyrolize and become transformed into manganese dioxide The MnO2 forms the cathode electrode. It is conventional practice to reform the tantalum oxide film at this point.

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Colloidal graphite is deposited over the manganese dioxide and may be applied by selectively screen printing atop the pads, and heating to solidify. A silver paste, made of silver particles held in an acrylic binder (e.g. DuPont #4817) is applied over the graphite by selectively screen printing, or alternatively by brushing, and is then heated and cured. If desirable, the substrate may now be dipped in molten 60/40 tin-lead solder having about 2% silver content at about 200°C. Alternatively a solder paste may be applied to the silver by screen printing and reflowing at a temperature of 200°C. This graphite-silver-solder system is but one of many effec-30 tive counterelectrodes that may be applied over the solid electrom lyte to form the capacitor element connective means. For example a silicone resin loaded with silver particles may be substituted for

the acrylic silver paste aforementioned. Obviously the particular solder alloy used may be chosen to accommodate a variety of needs including high temperature (e.g. 400°C) reflow attachment of capacitor cathodes to an integrated circuit substrate.

It is especially noteworthy that all the above-mentioned manufacturing process steps that provide definition may be accomplished by screen printing while others involve dipping or submersing. Thus only low cost and readily fabricated tooling is required to provide multiple or single capacitor parts of great variety 10 in size, geometry, and ratings.

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It will be recognized that after the application of the counterelectrode, each tantalum pad has been developed and transformed into a solid tantalum capacitor element, all such elements having a common anode connection in the tantalum face of the substrate.

The capacitor elements thus formed may now be separated, either into individual capacitors or in groups. This is achieved by cutting or otherwise separating the tantalum substrate in the spaces between pads having a barrier coating. Typical cut locations 20 are shown as dotted lines 36 and 37 in Figure 3. The cutting may be accomplished by any one of several well known semiconductor wafer dicing means. For example the abrasive wire saw described by E. E. Forcier in U.S. Letters Patent 3,435,815 issued April 1, 1969, has proven effective. Laser cutting is also appropriate. Such cutting and separating means results in essentially no waste of expensive tantalum material and is particularly appropriate for the small element dimensions and close spacing by which multiple capacitors of this invention may be characterized.

Figure 4 is a magnified cross sectional detail view of 30 just one tantalum capacitor element. A ceramic substrate 40 has a tantalum film 41 deposited on a top face. Other than the substrate the detail in Figure 4 may represent one of the capacitor elements

of Figure 3. A tantalum pad 42 is sinter bonded to the tantalum face of the substrate 40. A tantalum oxide film 43 is shown over all exposed regions of the tantalum face 41 and the porous tantalum pad 43 (including all its interstitial surfaces). Barrier coating 5 44 covers a portion of the tantalum oxide that is over the tantalum face of the substrate 40. A solid manganese dioxide electrolyte 45 covers the tantalum oxide film 43 except where stopped by the barrier coating 44. Atop the MnO2 electrolyte, a counterelectrode is built of successive layers of graphite (carbon) 46, silver 47, and solder 48.

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Figure 5 shows an individual solid tantalum capacitor having been cut from a multiple capacitor substrate such as that descri⊶ bed in Figure 3. A cathode lead wire 54 is attached to the counterelectrode 51 of the capacitor and an anode wire lead 55 is attached 15 to the tantalum sheet 50. Such leads may be so connected before the individual capacitor elements have been separated from the multiple substrate. The capacitor of Figure 5 is especially suitable for mounting and connection in a hybrid integrated circuit wherein a normal thermocompression bonding method may be used to attach lead 20 wires 54 and 55 between the capacitor and the circuit.

Figures 6a, b, c show a group of two capacitors sharing the same tantalum substrate 60. By making contact to the counterelectrodes 61 and 63 on capacitor pads 62 and 64, respectively, a single leadless non-polar capacitor is realized. The non-polar 25 configuration may be mounted in an inverted position as shown in Figure 6b without leads in the manner of a "flip-chip", whereby for example the counterelectrode has an outer coating of solder such that the substrate 60 may be "flipped" over with the solder coating facing solderable lands 67 and 68 on a hybrid integrated circuit 69 and a joint is effected therebetween by applying heat and reflowing the solder. Alternatively, metal tabs 65 and 66 as shown in Figure 6c may be attached to the counterelectrodes 61 and 63, respectively,

thereby providing a non-polar capacitor with leads. Lead attachment may be accomplished by reflow soldering, or other means.

In Figure 7a is shown a single capacitor element 71 on a tantalum substrate 70. A metal bar 73 preferably of nickel or KOVAR

5 (a Tradename for an expansion alloy of the Westinghouse Electric Corp.) is spot or stitch welded to the substrate 70 after application of counterelectrode materials. Such a weld may be made through the barrier layer and tantalum oxide film by an energy discharge weld method. The bar 73 is advantageously about the same height as the capacitor body 72, such that when inverted the capacitor may be mounted evenly as shown in Figure 7b on a circuit 79 connecting to lands 77 and 78 as a leadless, flip chip, polar capacitor. Alternatively, as shown in Figure 7c, metal tab leads 75 and 76 may be attached to the counterelectrode 71 and to the bar 73, respectively to provide leaded connection to the capacitor.

It is seen that single or multiple capacitors of this invention, for example those of Figures 6 and 7, are suitable for incorporation in a molded component package, such as the conventional dual-inline-package (DIP) that is popularly employed for printed wire board mounting.

Figure 8 shows a cross-sectional side view of such a package wherein a plastic material 80 encompasses the body of the capacitor of Figure 7 and a portion of the tab leads. The tab leads 75 and 76 may be formed from an original part of a conventional lead frame, to which capacitors of this invention as well as other components are usually welded, soldered, or otherwise connected prior to molding.

Additional dual-inline-package configurations may be constructed with capacitors of this invention whereby the capacitors may 30 be connected by means of either a common positive terminal or a common negative terminal. In Figure 9 is shown a package wherein twelve discrete capacitors 91 are contacted by two metal tab leads 93,

which are connected to the tantalum face 90 of a substrate that is common to all of the tantalum pads, thereby creating an array of capacitors with common positive polarity. A metal tab lead 92 is attached to the counterelectrode of each capacitor thus providing 5 discrete negative connections. Figure 10 shows a cross-sectional view in plane 10-10 of the package of Figure 9 encapsulated by a plastic insulative material 94.

Although the above described capacitors and methods for making them have employed a tantalum substrate, it should be noted that other substrate materials such as 99.5% pure alumina having a thin film of tantalum sputtered on one face thereof is suitable. Such high purity alumina can withstand sintering temperatures of about 1600°C without adversely affecting the quality of the tantalum anode. When an alumina substrate is used for the manufacture of capacitors pertaining to this invention, it will be appropriate to employ the standard method of scribing and breaking to separate capacitor bodies.

In order to produce a DIP package of a plurality of capacitors with a common negative polarity, an alumina substrate of the kind described above may be employed. Following manufacture of the 20 capacitors of this invention, the capacitors may be isolated, but retained on the same substrate, by vaporizing the tantalum film between capacitors. For instance, a laser beam may be used to vaporize the tantalum without cutting through the ceramic substrate. Figure 11 shows a DIP system of this description. The tantalum film 110 deposited on the ceramic substrate 115, has been selectively vaporized to isolate each discrete capacitor 111. Individual connection to the positive polarity is made by individual metal tab leads 112, and common connection to the negative counterelectrodes is made by the metal part 113 which is affixed by soldering or other means 30 to each capacitor body 1114 The whole is encapsulated by a plastic material 114 and is illustrated by the cross-sectional view in plane 12-12 by Figure 12.

The small dimensions and close spacing required of the capacitors for DIP systems is readily achieved by this invention and the compatible planar geometry makes this combination especially attractive. Many combinations and variations beyond those described 5 here are possible for DIP and similar packaging.

Multiple capacitor plates were made on a 0.005 inch thick tantalum substrate measuring 0.188 inch by 0.750 inch, being especially designed for direct assembly in a standard sixteen leaded DIP package. An ink was prepared consisting of a homogeneous mix-10 ture of 85% by weight tantalum powder of particle sizes ranging from 3 to 10 microns, 2=1/2% of a binder such as ELVACITE comprising a polyisobutyl methacrylate (ELVACITE is a Trademame of E. I. DuPont Co.) and 12=1/2% of a solvent being a glycol butyl ether (n-Butyl Cellosolve supplied by Union Carbide Co.). Other valve metals than 15 tantalum, such as aluminum or titanium will also be suitable provided the substrate has a face of the same valve metal.

A 200 mesh screen having 0.0016 inch diameter stainless steel wires and having a 0.001 inch thick transfer immulsion mask was employed. A hot air gum was used to solidify each screened 20 layer before a next layer was successively screened. The rough surface of the resulting composite layer was estimated to reduce the necessary steps of manganous nitrate application and pyrolysis by at least 20% over that required for a smooth surface. In addition the adherence of the counterelectrode materials was notably improved. The resulting tantalum pads of each capacitor element are about 0.020 inch thick and lateral dimensions are 0.088 inch by 0.067 inch, with about 0.020 inch spaces between pads. Each of the fourteen capacitor elements on one plate were 4.7 microfarads rated at 6 volts. On another plate they were each 1.2 microfarads rated 30 at 20 volts. Considering the anodizing voltage, the resulting microfarad-volt product of each capacitor element was calculated to be about 70 µfd-V.

From these data it can be shown that the capacitors of this invention have about 600,000 µfd-volts per cubic inch, which is directly comparable to the figure of merit normally obtained by a conventional method comprised of compacting tantalum powder in a 5 mold and subsequently forming the tantalum oxide dielectric and the counterelectrode. This is an astonishing result and was entirely unexpected. It is postulated that by screen printing, tantalum ink compaction is realized through pressure of the screening squeegee and by pressure of the downward deflected screen itself, causing 10 the density of the tantalum powder to increase under the screen leaving a layer of purified solvent on top which is driven off by the subsequent heating. The resulting rough surface of the sintered tantalum pad, which roughness reflects the pattern of the screen, lends support to this theory. It is recognized that other factors may be responsible for these surprising results and the theory advanced is not considered essential to the invention.

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The embodiments of the invention in which an exclusive property or privilege is claimed are defined as follows:

L. A method for manufacturing a solid electrolytic capacitor comprising preparing a mixture of finely divided valve-metal powder and a binder to achieve an ink exhibiting essentially no flow in horizontal thick film form under conditions of zero agitation, screen printing one or more successive layers of said mixture onto a substrate thereby producing a composite layer having a rough outer surface reflecting the pattern of said screen, said substrate having at least one face of said valve metal, and said face comprising the anode connective means for said capacitor, heating to solidify each said screened layer after each said screening step; and sintering said screened composite layer at between 1550°C and 2000°C, thereby transforming said composite layer into a porous valve-metal pad sinter bonded to said valve-metal face.

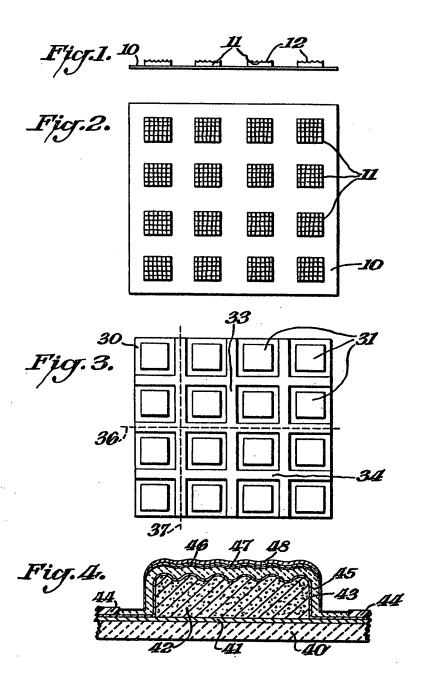
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- The method of claim 1 including the further steps of forming by an electrolytic process a valve-metal oxide film over all exposed regions of said valve-metal face and said porous valve-metal pad, dipping said substrate and said valve-metal pad into an aqueous solution of manganous salt, removing said substrate from said salt solution, heating said pad and said salt solution adhering thereto to cause said salt to permeate said porous pad and to transform said salt into manganese dioxide by pyrolysis, and applying a conductive counterdectrode over said composite layer to form a cathode connection.
- 3. The method of claim 1 wherein said mixture is prepared by homogeneously combining said finely divided valve-metal powder, having particle sizes from 3 to 10 microns, said binder being polyisobutyl methacrylate, and a vehicle of a glycol butyl ether, in the approximate proportions by weight of 85%, 2-1/2% and 12-1/2%, respectively.

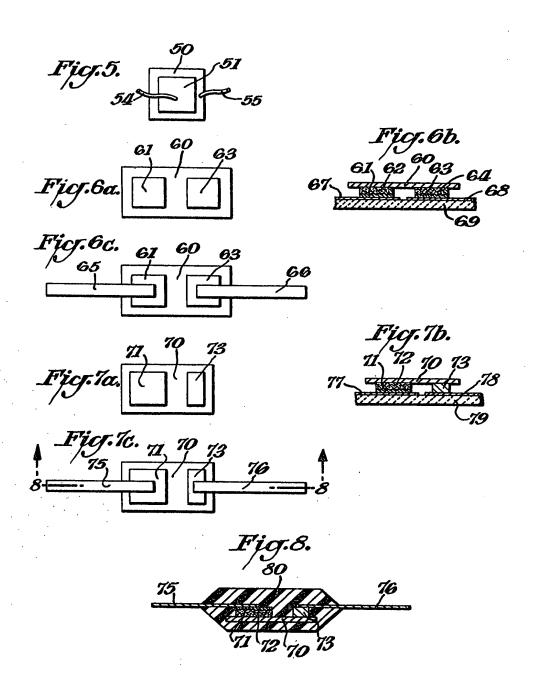
- 4. The method of claim 2 wherein the said application of said counterelectrode is accomplished at least in part by screen printing.
- 5. The method of claim 2 wherein the screen used in said screen printing is partially masked permitting said mixture to be selectively screened through certain predetermined regions of said screen; and wherein said screen printing includes the simultaneous screen printing of two or more porous composite layers on said valve metal face, such that each said composite layer becomes the anode portion of a discrete capacitor.
- 6. The method of claim 5 comprising the additional step of selectively screen printing a barrier coating on portions of said oxidized valve metal face between said anode portions.
- 7. The method of claim 5 comprising the additional step of selectively applying a barrier coating on portions of said oxidized valve metal face between said anode portions, by a process of wet drawing.
- 8. The method of claim 5 wherein said substrate is comprised of a ceramic base having a film of said valve metal deposited thereon, said method comprising the additional step of physically separating said discrete capacitors by vaporizing the valve metal film in regions between said pads by means of a laser beam.
- 9. The method of claim 5 wherein said substrate is comprised of a ceramic base having a film of said valve metal deposited thereon, said method comprising the additional step of physically separating groups of said discrete capacitors by a normal scribe and break method, wherein each said group comprises one or more of said discrete capacitors.



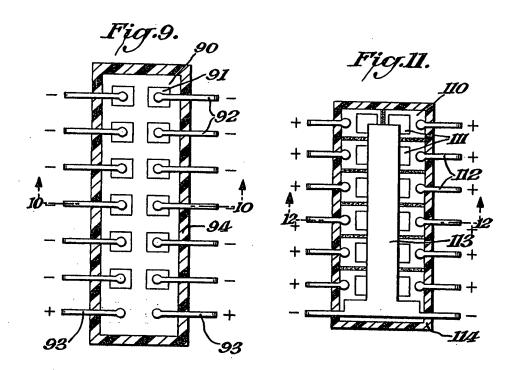
10. A solid electrolytic capacitor comprising a substrate having at least one face made of a valve metal, said face serving as the anode connection of said capacitor, a screen printed porous pad of said valve metal being sinter bonded to said face, said pad having a rough outer surface reflecting the screen pattern, a valve-metal oxide film over the exposed surfaces of said face and said pad, a solid manganese dioxide electrolyte permeating said pad and in contact with said film, and a counterelectrode over said electrolyte, said counterelectrode serving as the cathode connection of said capacitor.

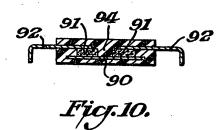


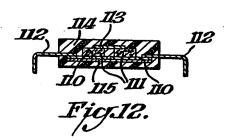
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